

05-01-01

PTO/SB/05 (4/98)

Please type a plus (+) sign in this box → +

Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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10/03/01

# UTILITY PATENT APPLICATION TRANSMITTAL

*(and for new non-provisional applications under 37 C.F.R. § 1.53(B))*

Attorney Docket No. S01.12-0721

First Inventor or Application Identifier

Ladislav R. Pust

Title THERMAL COMPENSATION FOR HEAD  
PROTRUSION IN A MAGNETIC DRIVE

Express Mail Label No. EL844343108US

1040 S 09/845619  
04/30/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- |   |  |
|---|--|
| 1. <input checked="" type="checkbox"/> *Fee Transmittal Form e.g., PTO/SB17)<br><i>(Submit an original and a duplicate for fee processing)</i>  | 5. <input type="checkbox"/> Microfiche Computer Program (Appendix)   |
| 2. <input checked="" type="checkbox"/> Specification [Total Sheets 21]  | 6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br><i>(If applicable, all necessary)</i>                      |
| - Descriptive title of the Invention  | a. <input type="checkbox"/> Computer Readable Copy   |
| - Cross References to Related Applications  | b. <input type="checkbox"/> Paper Copy (Identical to computer copy)  |
| - Statement Regarding Fed sponsored R & D   | c. <input type="checkbox"/> Statement verifying identity of above copies   |
| - Reference to Microfiche Appendix  |  |
| - Background of the Invention   |  |
| - Brief Summary of the Invention  |  |
| - Brief Description of the Drawings ( <i>if filed</i> )   |  |
| - Detailed Description  |  |
| - Claim(s)  |  |
| - Abstract of the Disclosure  |  |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. § 113) [Total Sheets 8]  |  |
| 4. Oath or Declaration [Total Sheets 3]   |  |
| a. <input checked="" type="checkbox"/> Newly executed (original or copy)  | 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))   |
| b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))<br><i>(for continuation/divisional with Box 16 completed)</i>   | 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br><i>(when there is an assignee)</i> |
| i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u><br>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). | 9. <input type="checkbox"/> English Translation Document   |

\*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation     Divisional     Continuation-in part (CIP)    of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_  
**FOR CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE** Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or  Correspondence address below

Name	David C. Bohn WESTMAN CHAMPLIN & KELLY			
Address	Suite 1600 – International Centre 900 South Second Avenue			
City	Minneapolis	State	MN	Zip Code
Country	USA	Telephone	(612) 334-3222	Fax

Name (Print/type)	David C. Bohn	Registration No. (Attorney/Agent)	32.015
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Signature	<i>David C. Bohn</i>	Date	4/30/01
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# FEE TRANSMITTAL

*Complete if Known*

Application No.	
Filing Date	Herewith
First Named Inventor	Ladislav R. Pust
Title	THERMAL COMPENSATION FOR HEAD PROTRUSION IN A MAGNETIC DRIVE
Group Art Unit	
Examiner Name	
Total Amount of Payment \$ 710	Atty. Docket Number S01.12-0721

## METHOD OF PAYMENT (Check One)

1.  The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123.  
Westman, Champlin & Kelly, P.A.

2.  Check Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee		Small Entity Fee		
Code	(\$)	Code	(\$)	Fee Description
101	710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee
106	320	206	160	<input type="checkbox"/> Design Filing Fee
108	710	208	355	<input type="checkbox"/> Reissue Filing Fee
114	150	214	75	<input type="checkbox"/> Prov. Filing Fee
<b>Subtotal (1) \$ 710</b>				

### 2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	19	20	0	18	0
Indep.	3	3	0	80	0

### Multiple Dependent Claims

\*\* Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity Fee		Small Entity Fee		Description
Code	(\$)	Code	(\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple Dependent Claims
109	80	209	40	Reissue Independent Claims over Original Patent
110	18	210	9	Reissue claims in excess of 20 and over original patent
<b>Subtotal (2) \$ 0</b>				

### 3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid	
Code	(\$)	Code	(\$)	
105	130	205	65	Surcharge - Late filing fee or oath
127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For Filing a Request for Reexamination
115	110	215	55	Extension for reply within first month
116	390	216	195	Extension for reply within second month
117	890	217	445	Extension for reply within third month
118	1,390	218	695	Extension for reply within fourth month
128	1,890	280	945	Extension for reply within fifth month
120	310	220	155	Filing a brief in support of an appeal
121	270	221	135	Request for oral hearing
148	110	248	55	Terminal Disclaimer Fee
140	110	240	55	Petition to Revive - unavoidable
141	1,240	241	620	Petition to Revive - unintentional
142	1,270	242	650	Utility/Reissue issue fee (inc. advance copies)
143	470	243	250	Design issue fee (inc. advance copies)
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	180	126	180	Submission of Information Disclosure Statement
581	40	581	40	Recording each patent assignment per property (times number of properties)
<b>Other Fee (specify) _____</b>				

**Subtotal (3) \$**

Signature David C. Bohn  
(David C. Bohn)

Reg. No. 32,015

Date 4/30/61

Deposit Account No. 23-1123

110400  
09/845619  
04/30/01

# FEE TRANSMITTAL

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Signature David C. Bohn  
(David C. Bohn)

Reg. No. 32,015

Date 4/30/01

Deposit Account No. 23-1123

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST AND  
CERTIFICATION UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Ladislav R. Pust
Title	THERMAL COMPENSATION FOR HEAD PROTRUSION IN A MAGNETIC DRIVE
Atty Docket Number	S01.12-0721

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

4/30/2001

Date



Signature

David C. Bohn  
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.